



Due Date: May 4, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian Grove et al. Examiner: (to be assigned)  
Serial No.: 10/701,029 Group Art Unit: 2131  
Filed: November 4, 2003 Docket: G&C 30074.50-US-U1  
Title: SECURE AUTHENTICATION USING HARDWARE TOKEN AND COMPUTER FINGERPRINT

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 26, 2004.

By: Victor G. Cooper  
Name: Victor G. Cooper

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**Customer Number 22462**  
**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: Victor G. Cooper  
Name: Victor G. Cooper  
Reg. No.: 39,641  
VGC/mrj

(PTO TRANSMITTAL - GENERAL)



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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, **no fee is due** for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. 1.491(b), the above application was filed *after* June 30, 2003 and, therefore, copies of the U. S. patents listed on the enclosed Form 1449 are *not* being provided herewith. However, copies of the foreign and non-patent literature are being submitted herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

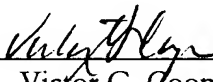
Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: April 26, 2004

VGC/mrj  
Enclosures: Form PTO-1449  
References

By:   
Victor G. Cooper  
Reg. No.: 39,641

Form 1449\*

Docket Number: G&amp;C 30074.50-US-U1

Application Number: 10/701,029

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

Applicant: Brian Grove et al.

Filing Date: November 4, 2003

Group Art Unit: 2131

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,799,258	1/17/1989	Davies			
	5,212,729	5/1993	Schafer			
	5,386,369	1/1995	Christiano			
	5,664,950	9/1997	Lawrence			
	5,706,426	1/1998	Hsu			
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	5,784,581	7/1998	Hannah, Eric C.			
	5,812,662	9/1998	Hsu et al.			
	5,815,577	9/1998	Clark, Dereck D.			
	5,857,024	1/5/1999	Nishino et al.			
	5,870,080	2/1999	Burnett et al.			
	6,052,468	4/2000	Hillhouse			
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	6,128,741	10/2000	Goetz, et al.			
	6,189,099	2/2001	Rallis et al.			
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	6,401,205	6/2002	Rallis et al.			
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	6,434,700	8/2002	Alonso et al.			
	6,523,119	2/2003	Pavlin et al.			
	6,557,104	4/2003	Vu et al.			

**FOREIGN PATENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 791 877 A1	8/27/1997	EP				
	EP 0 791 877 B1	5/22/2002	EP [w/machine translation in English]			X	
	EP 0 936 530	8/18/1999	EP				

EXAMINER:

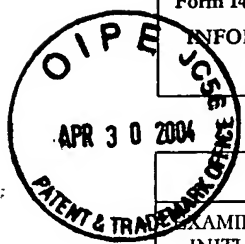
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

G&amp;C 30074.50-US-U1



Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: G&C 30074.50-US-U1	Application Number: 10/701,029
	Applicant: Brian Grove et al.	
	Filing Date: November 4, 2003	Group Art Unit: 2131

	EP 1 001 329	5/17/2000	EP				
	EP 0 587 375	3/16/1994	EP				
	GB 2 154 344	9/4/1985	UK				
	WO 00/23936	4/27/2000	PCT				
	WO 00/75755	12/14/2000	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	[A]	WIBU-Systems AG, "WIBU-KEY User's Guide Version 2.50", July 1998, XP-002139265, Internet: URL: <a href="ftp://www.w2.wibu.de/pub/download/us/UG250US.pdf">ftp://www.w2.wibu.de/pub/download/us/UG250US.pdf</a> , pp. 12-14, 163-164.					
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	[D]	"Universal Serial Bus Device Class Definition for Audio Devices", Release 1.0, by the USB Implementer's Forum, March 18, 1998; <a href="http://www.usb.org/developers/devclass_docs/audio10.pdf">www.usb.org/developers/devclass_docs/audio10.pdf</a> .					
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	[F]	Rainbow's NetSwift iGate Closes The Door On Internet Bad Guys, Network Computing, 2002, n1316 p32 (three pages).					
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	[H]	Cone, Digital Locksmiths - Rainbow, Aladdin leads a rapidly growing and consolidating market, Informationweek 1995, n547 p82 (one page).					
	[I]	Aladdin Brings Security Hardware Dongle To iMac USB, Newsbytes, Nov. 16, 1998 (one page).					
	[J]	HASP Professional Software Protection, White papers 1 and 2, <a href="http://www.eladdin.com/hasp">http://www.eladdin.com/hasp</a> , pp. 1-7 and pp. 1-16.					
	[K]	Greenmeier, October 24, 1997, MIDRANGE Systems, v10, n17, p13(1) (two pages).					
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	[M]	Aladdin, December 1997, <a href="http://web.archive.org/web/19980208082834/www.aks.com">http://web.archive.org/web/19980208082834/www.aks.com</a> , six pages.					

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	